

PATENT

Case Docket No. CVALVE.006CP1

Date: April 16, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Seguin, *et al.*
Appl. No. : 10/772,101
Filed : February 4, 2004
For : PROSTHETIC VALVE FOR
TRANSLUMINAL DELIVERY
Examiner : Unknown
Group Art Unit : 3738

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 16, 2004

(Date)

Walter S. Wu, Reg. No. 50,816


TRANSMITTAL LETTER

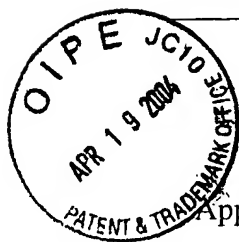
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with one-hundred thirteen (113) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.


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Attorney of Record
Customer No. 20,995
(949) 760-0404



INFORMATION DISCLOSURE STATEMENT

Applicant : Seguin, *et al.*
App. No. : 10/772,101
Filed : February 4, 2004
For : PROSTHETIC VALVE FOR
TRANSLUMINAL DELIVERY
Examiner : Unknown
Group Art Unit : 3738

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 113 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: April 16, 2004

By: 

Walter S. Wu
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Attorney of Record
Customer No. 20,995
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CVALVE.006CP1APPLICATION NO.
10/772,101INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Sequin, *et al.*FILING DATE
February 4, 2004GROUP
3738

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	US 3,409,013	11/05/68	Berry			
	2	US 3,587,115	06/28/71	Shiley			
	3	US 3,657,744	04/25/72	Ersek			
	4	US 3,671,979	06/27/72	Moulopoulos			
	5	US 3,714,671	02/06/73	Edwards et al.			
	6	US 3,755,823	09/04/73	Hancock			
	7	US 4,035,849	07/19/77	Angell et al.			
	8	US 4,056,854	11/08/77	Boretos et al.			
	9	US 4,106,129	08/15/78	Carpentier et al.			
	10	US 4,222,126	09/16/80	Boretos et al.			
	11	US 4,297,749	11/03/81	Davis et al.			
	12	US 4,339,831	07/20/82	Johnson			
	13	US 4,343,048	08/10/82	Ross et al.			
	14	US 4,470,157	09/11/84	Love			
	15	US 4,574,803	03/11/86	Storz			
	16	US 4,592,340	06/03/86	Boyles			
	17	US 4,574,803	03/11/86	Storz			
	18	US 4,612,011	09/16/86	Kautzky			
	19	US 4,655,771	04/07/87	Wallsten			
	20	US 4,733,665	03/29/88	Palmaz			
	21	US 4,777,951	10/18/88	Cribier et al.			
	22	US 4,787,899	11/29/88	Lazarus			
	23	US 4,787,901	11/29/88	Baykut			
	24	US 4,796,629	01/10/89	Grayzel			
	25	US 4,878,495	11/07/89	Grayzel			
	26	US 4,878,906	11/07/89	Lindemann et al.			
	27	US 4,883,458	11/28/89	Shiber			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CVALVE.006CP1	APPLICATION NO. 10/772,101
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Sequin, <i>et al.</i>	
		FILING DATE February 4, 2004	GROUP 3738

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28	US 4,966,604	10/30/90	Reiss			
	29	US 4,979,939	12/25/90	Shiber			
	30	US 4,986,830	01/22/91	Owens et al.			
	31	US 4,994,077	02/19/91	Dobben			
	32	US 5,007,896	04/16/91	Shiber			
	33	US 5,026,366	06/25/91	Leckrone			
	34	US 5,032,128	07/16/91	Alonso			
	35	US 5,037,434	08/06/91	Lane			
	36	US 5,047,041	09/10/91	Samuels			
	37	US 5,059,177	10/22/91	Towne et al.			
	38	US 5,085,635	02/04/92	Cragg			
	39	US 5,089,015	02/18/92	Ross			
	40	US 5,152,771	10/06/92	Sabbaghian et al.			
	41	US 5,163,953	11/17/92	Vince			
	42	US 5,167,628	12/01/92	Boyles			
	43	US 5,295,958	03/22/94	Shturman			
	44	US 5,332,402	07/26/94	Teitelbaum			
	45	US 5,370,685	12/06/94	Stevens			
	46	US 5,397,351	03/14/95	Pavcnik et al.			
	47	US 5,411,055	05/02/95	Andersen et al.			
	48	US 5,443,446	08/22/95	Shturman			
	49	US 5,480,424	01/02/96	Cox			
	50	US 5,545,209	08/13/96	Roberts et al.			
	51	US 5,545,214	08/13/96	Stevens			
	52	US 5,554,185	09/10/96	Block et al.			
	53	US 5,716,417	02/10/98	Girard et al.			
	54	US 5,840,081	11/24/98	Andersen et al.			

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	55	US 5,855,597	01/05/99	Jayaraman			
	56	US 5,855,601	01/05/99	Bessler et al.			
	57	US 5,925,063	07/20/99	Khosravi			
	58	US 5,957,949	09/28/99	Leonhardt et al.			
	59	US 6,027,525	02/22/00	Suh et al.			
	60	US 6,168,614	01/02/01	Andersen et al.			
	61	US 6,221,091	04/24/01	Khosravi			
	62	US 6,245,102	07/30/02	Jayaraman			
	63	US 6,299,637	10/09/01	Shaolian et al.			
	64	US 6,425,916	07/30/02	Garrison et al.			
	65	US 6,440,164	08/27/02	Di Matteo et al.			
	66	US 6,454,799	09/24/02	Schreck			
	67	US 6,458,153	10/01/02	Bailey et al.			
	68	US 6,461,382	10/08/02	Cao			
	69	US 6,482,228	11/19/02	Norred			
	70	US 6,488,704	12/03/02	Connelly et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	71	DE 19,532,846	03/13/97	Germany				
	72	DE 19,546,692	06/19/97	Germany				
	73	DE 19,857,887	07/06/00	Germany				
	74	DE 19,907,646	08/24/00	Germany				
	75	DE 10,049,812	04/18/02	Germany				
	76	DE 10,049,813	04/18/02	Germany				
	77	DE 10,049,814	04/18/02	Germany				
	78	DE 10,049,815	04/18/02	Germany				

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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	79	EP 0,103,546 A1	03/21/84	Europe				
	80	EP 0,103,546 B1	03/21/84	Europe				
	81	EP 0,597,967	12/06/94	Europe				
	82	EP 0,850,607	07/01/98	Europe				
	83	EP 1,057,460	12/06/00	Europe				
	84	EP 1,088,529	04/04/01	Europe				
	85	FR 2,788,217	07/13/00	France				
	86	GB 2,056,023	03/11/81	United Kingdom				
	87	WO 91/17720	11/28/91	PCT				
	88	WO 93/01768	17/15/92	PCT				
	89	WO 98/29057	07/09/98	PCT				
	90	WO 99/33414	07/08/99	PCT				
	91	WO 00/41652	07/20/00	PCT				
	92	WO 00/47139	08/17/00	PCT				
	93	WO 01/49213	07/12/01	PCT				
	94	WO 01/54625	08/02/01	PCT				
	95	WO 01/62189	0/30/01	PCT				
	96	WO 01/64137	09/07/01	PCT				
	97	WO 01/76510	10/18/01	PCT				
	98	WO 02/22054	05/21/02	PCT				
	99	WO 02/41789	05/30/02	PCT				
	100	WO 02/43620	06/06/02	PCT				
	101	WO 02/47575	06/20/02	PCT				
	102	WO 02/49540	06/27/02	PCT				
	103	WO 92/17118	10/15/92	PCT				
	104	1271508 A1	11/1986	SU				X

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	105	<i>Balloon-Expandable Intracoronary Stent in the Adult Dog</i> ; Schatz, Richard MD, et al.; <u>Laboratory Investigation – Myocardial Ischemia</u> ; pp 450-457; 1987.
	106	US Patent Application Publication 2001/0,002,445 – Vesely (Published 03/31/01)
	107	US Patent Application Publication 2001/0,007,956 – Letac et al. (Published 07/12/01)
	108	US Patent Application Publication 2001/0,010,017 – Letac et al. (Published 07/26/01)
	109	US Patent Application Publication 2001/0,021,872 – Bailey et al. (Published 09/13/01)
	110	US Patent Application Publication 2002/0,032,481 – Gabbay (Published 03/14/02)
	111	US Patent Application Publication 2002/0,042,651 – Liddicoat (Published 04/11/02)
	112	US Patent Application Publication 2002/0,107,565 – Greenhalgh (Published 08/08/02)
	113	US Patent Application Publication 2002/0,138,138 – Yang (Published 09/26/02)

VAC-4286
041404

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